

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
210121.465C2APPLICATION NO.  
09/684,361**THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANTS

Alexander Gaiger et al.

FILING DATE

October 6, 2000

GROUP ART UNIT

1644

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB1	AA	5,693,522	12/02/97	Chada et al.	435	2.402	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
MB5	AK	WO 94/21287	09/29/94	WIPO		
MB1	AL	WO 95/29995	11/09/95	WIPO		
	AM					
	AN					
	AO					

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

MB1	AP	Boon, T., "Tumor Antigens Recognized By Cytolytic T Lymphocytes: Present Perspectives for Specific Immunotherapy," <i>Int. J. Cancer</i> 54: 177-180, 1993.
MB3	AQ	Oka, Y. et al., "Wilms Tumor Gene Peptide-Based Immunotherapy for Patients with Overt Leukemia from Myelodysplastic Syndrome (MDS) or MDS with Myelofibrosis," <i>International Journal of Hematology</i> 78: 56-61, 2003.
	AR	

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PM	AA	5,633,142	05/27/97	Herlyn et al.	435	7.23	
	AB	5,670,317	09/23/97	Ladanyi et al.	435	6	
	AC	5,726,288	03/10/98	Call et al.	530	350	
	AD	6,034,235	03/07/00	Sugiyama et al.	536	24.5	
	AE	6,277,832	08/21/01	Sugiyama et al.	514	44	
✓	AF	6,316,599 B1	11/13/01	Call et al.	530	387.7	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
PM	AG	EP 1004319 A1	05/31/00	EPO		
PM	AH	EP 1103564 A1	05/30/01	EPO		
PM	AI	JP 11-89596 A	04/06/99	Japan		X
PM	AJ	JP 11-89599 A	04/06/99	Japan		X
PM	AK	WO 96/38176	12/05/96	WIPO		X
	AL	WO 99/03506	01/28/99	WIPO		X
	AM	WO 00/06602	02/10/00	WIPO		X
	AN	WO 01/60970	08/23/01	WIPO		
	AO	WO 01/94629	12/13/01	WIPO		
✓	AP	WO 02/00677	01/03/02	WIPO		

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

PM	AQ	GenBank Database, Accession No. A39692, February 16, 1997.
↓	AR	GenBank Database, Accession No. AAA36810, June 15, 1990.
↓	AS	GenBank Database, Accession No. AAA62825, October 27, 1994.

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BA						

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			YES	NO
BB				

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

MJ	BC	GenBank Database, Accession No. AAB33427, May 12, 1995.
I	BD	GenBank Database, Accession No. AAB33443, July 11, 1995.
I	BE	GenBank Database, Accession No. AAC60039, November 8, 1996.
I	BF	GenBank Database, Accession No. BAA94794, April 21, 2000.
I	BG	GenBank Database, Accession No. CAA35956, May 29, 1991.
MJ	BH	GenBank Database, Accession No. CAA43819, December 3, 1993.
I	BI	GenBank Database, Accession No. CAA59736, February 13, 1996.
I	BJ	GenBank Database, Accession No. I51960, November 5, 1999.
W	BK	GenBank Database, Accession No. M30393, June 15, 1990.
MJ	BL	GenBank Database, Accession No. NM_000378, November 5, 2000.
I	BM	GenBank Database, Accession No. NM_024424, March 20, 2001.
I	BN	GenBank Database, Accession No. NM_024426, March 20, 2001.

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	CA						

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					YES	NO
	CB					

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

m1	CC	GenBank Database, Accession No. NP_000369, November 5, 2000.
	CD	GenBank Database, Accession No. NP_077742, March 20, 2001.
	CE	GenBank Database, Accession No. NP_077743, March 20, 2001.
	CF	GenBank Database, Accession No. NP_077744, March 20, 2001.
	CG	GenBank Database, Accession No. NP_113722, April 6, 2003.
	CH	GenBank Database, Accession No. O62651, November 1, 1998.
	CI	GenBank Database, Accession No. P50902, October 1, 1996.
	CJ	GenBank Database, Accession No. S75264, July 11, 1995.
m1	CK	GenBank Database, Accession No. X51630, May 29, 1991.
	CL	Geneseq Database, Accession No. AAT45130, August 19, 1997.
	CM	Geneseq Database, Accession No. AAT45131, August 20, 1997.
	CN	Geneseq Database, Accession No. AAT45132, August 20, 1997.

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DA						

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
			YES	NO
DB				

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DC	Geneseq Database, Accession No. AAT45133, August 20, 1997.
DD	Geneseq Database, Accession No. AAT45134, August 20, 1997.
DE	Geneseq Database, Accession No. AAT45135, August 20, 1997.
DF	Geneseq Database, Accession No. AAT45136, August 20, 1997.
DG	Geneseq Database, Accession No. AAT45137, August 20, 1997.
DH	Geneseq Database, Accession No. AAT45138, August 20, 1997.
DI	Geneseq Database, Accession No. AAT45139, August 20, 1997.
DJ	Geneseq Database, Accession No. AAT45140, August 20, 1997.
DK	Geneseq Database, Accession No. AAT45141, August 20, 1997.
DL	Geneseq Database, Accession No. AAT45142, August 20, 1997.
DM	Geneseq Database, Accession No. AAT97855, March 9, 1998.
DN	Geneseq Database, Accession No. AAT97856, March 9, 1998.

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EA						

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DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
			YES	NO
EB				

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

ms	EC	Geneseq Database, Accession No. AAT97857, March 9, 1998.
	ED	Geneseq Database, Accession No. AAT97858, March 9, 1998.
	EE	Geneseq Database, Accession No. AAT97859, March 9, 1998.
	EF	Geneseq Database, Accession No. AAT97860, March 9, 1998.
	EG	Geneseq Database, Accession No. AAT97861, March 9, 1998.
	EH	Geneseq Database, Accession No. AAT97862, March 9, 1998.
	EI	Geneseq Database, Accession No. AAT97863, March 9, 1998.
	EJ	Geneseq Database, Accession No. AAT97864, March 9, 1998.
	EK	Geneseq Database, Accession No. AAT97865, March 9, 1998.
	EL	Geneseq Database, Accession No. AAT97866, March 9, 1998.
	EM	Geneseq Database, Accession No. AAT97867, March 9, 1998.
	EN	Geneseq Database, Accession No. AAT97868, March 9, 1998.

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FA						

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	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
FB					

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

M1	FC	Geneseq Database, Accession No. AAX15839, May 11, 1999.
	FD	Geneseq Database, Accession No. AAX15840, May 11, 1999.
	FE	Geneseq Database, Accession No. AAX15841, May 11, 1999.
	FF	Geneseq Database, Accession No. AAX15842, May 11, 1999.
	FG	Geneseq Database, Accession No. AAX15843, May 11, 1999.
	FH	Geneseq Database, Accession No. AAX15844, May 11, 1999.
	FI	Geneseq Database, Accession No. AAX15845, May 11, 1999.
	FJ	Geneseq Database, Accession No. AAX15846, May 11, 1999.
	FK	Geneseq Database, Accession No. AAX15847, May 11, 1999.
	FL	Geneseq Database, Accession No. AAX15848, May 11, 1999.
	FM	Geneseq Database, Accession No. AAX15849, May 11, 1999.
	FN	Geneseq Database, Accession No. AAX15850, May 11, 1999.

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7/22/2004

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EXPRESS MAIL NO. EV336590979

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GA						

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			YES	NO
GB				

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

MS	GC	Geneseq Database, Accession No. AAX15851, May 11, 1999.
	GD	Geneseq Database, Accession No. AAX15852, May 11, 1999.
	GE	Geneseq Database, Accession No. AAX15853, May 11, 1999.
	GF	Geneseq Database, Accession No. AAX15854, May 11, 1999.
	GG	Geneseq Database, Accession No. AAX15855, May 11, 1999.
	GH	Geneseq Database, Accession No. AAX23927, June 25, 1999.
	GI	Geneseq Database, Accession No. AAX23928, June 25, 1999.
	GJ	Geneseq Database, Accession No. AAX23929, June 25, 1999.
	GK	Geneseq Database, Accession No. AAX23930, June 25, 1999.
	GL	Geneseq Database, Accession No. AAX23931, June 25, 1999.
	GM	Geneseq Database, Accession No. AAX34315, July 6, 1999.
	GN	Geneseq Database, Accession No. AAX34316, July 6, 1999.

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HA						

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			YES	NO
HB				

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P31	HC	Geneseq Database, Accession No. AAX34317, July 6, 1999.
	HD	Geneseq Database, Accession No. AAX34318, July 6, 1999.
	HE	Geneseq Database, Accession No. AAX34319, July 6, 1999.
	HF	Geneseq Database, Accession No. AAX34320, July 6, 1999.
	HG	Geneseq Database, Accession No. AAX34321, July 6, 1999.
P35	HH	Geneseq Database, Accession No. AAY80196, May 24, 2000.
	HI	Geneseq Database, Accession No. AAY80197, May 24, 2000.
	HJ	Geneseq Database, Accession No. AAY80198, May 24, 2000.
	HK	Geneseq Database, Accession No. AAY80199, May 24, 2000.
	HL	Geneseq Database, Accession No. AAY80200, May 24, 2000.
	HM	Geneseq Database, Accession No. AAY80201, May 24, 2000.
	HN	Geneseq Database, Accession No. AAY80202, May 24, 2000.

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IA						

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			YES	NO
IB				

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

WMS	IC	Genseq Database, Accession No. AAY80203, May 24, 2000.
WMS	ID	Genseq Database, Accession No. ABP42234, August 22, 2002.
	IE	
	IF	
	IG	
	IH	
	II	
	IJ	
	IK	
	IL	
	IM	
	IN	

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1395379